



REC'D -  
JUL 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: NEAL HARTSELL ET AL.

JUNE 12, 2001

For: SYSTEMS AND METHODS FOR CONFIGURATION OF  
INFORMATION MANAGEMENT SYSTEMS

Serial No.: 09/879,836

COPY OF PAPERS  
ORIGINALLY FILED

Examiner: UNKNOWN

Atty Dkt: SURG:153

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

5-14-02

(*Marty Bane*)

Assistant Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. Surgeon Networks, Inc. is a SMALL ENTITY.

A copy of the original filing receipt is attached, with the requested change(s) indicated in red.

Because the error is not due to any error by Applicant(s), no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the commission is hereby authorized to deduct said fee from Deposit Account 10-120/SURG:153.

Assistant Commissioner for Patents and Trademarks

Page 2

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



William W. Enders  
Reg. No. 41,735  
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.  
1101 Capital of Texas Highway South  
Building C, Suite 200  
Austin, Texas 78746  
512-347-1611  
512-347-1615 (FAX)

RECEIVED

MAY 13 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 03 2002

O'Keefe, Egan &amp; Peterman, LLP

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/879,836	05/16/2001	2153	1344	SURG:153	25	107	6

CONFIRMATION NO. 3694

CORRECTED FILING RECEIPT



\*OC000000008021851\*

Date Mailed: 05/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Neal D. Hartsell, Austin, TX;  
 Robert B. Fernander, Austin, TX;  
 Gregory J. Jackson, Dripping Springs, TX;  
 Scott C. Johnson, Round Rock, TX;  
 Chaoxin C. Qiu, Austin, TX;  
 Roger K. Richter, Leander, TX;

COPY OF PAPERS  
ORIGINALLY FILED

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/797,200 03/01/2001  
 WHICH CLAIMS BENEFIT OF 60/246,401 11/07/2000  
 AND CLAIMS BENEFIT OF 60/187,211 03/03/2000  
 THIS APPLICATION 09/879,836  
 CLAIMS BENEFIT OF 60/285,211 04/20/2001  
 AND CLAIMS BENEFIT OF 60/291,073 05/15/2001

## Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: 05/16/2002

Non-Publication Request: No

Early Publication Request: No

SMALL ENTITY

**COPY OF PAPERS  
ORIGINALLY FILED****Title**

Systems and methods for configuration of information management systems

**Preliminary Class**

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).